

## TCP

### □ Transport protocol:

- Communication between applications
- API: sockets
- Uses IP as network protocol
- De-/Multiplexing via port numbers

### □ Point-to-point:

- One sender, one receiver

### □ Full duplex data:

- MSS: maximum segment size
  - IP is packet switching
- Bi-directional data flow in same connection
  - Bi-directional byte stream

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## TCP (cont.)

### □ Pipelined:

- Multiple packet in flight
- Controlled via sliding window of size n:
  - Can send up to n bytes without ack
  - When data acked window slides forward

### □ Flow controlled:

- Sender will not overwhelm receiver
- Use receiver side window
- Receiver explicitly informs sender of (dynamically changing) amount of free buffer space
- Depends on consuming application
- Persist timer
  - If rwnd = 0
  - Exponentially backed off (up to 60 s)

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## TCP (cont.)

### □ Reliable, in-order byte stream:

- No "message boundaries"
- Sequence numbers (per byte)
- Acknowledgements (per byte)
  - Cumulative
  - Selective
  - Delayed
    - Max 2 packets or 200 ms
    - Always ACK out of order data
- Retransmissions:
  - Timeout based on RTT estimation
  - Three duplicated ACKs

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## TCP (cont.)

### □ Reliable, in-order byte stream (cont.):

- RTT (round trip time) estimation:
  - Smoothed RTT estimation
    - $RTT = a \cdot RTT + (1-a) \cdot \text{measured RTT}$
  - Single timer for all connections
    - Typically every 500 ms
  - Traditional:
    - Single packet per window
    - Invalid by retransmitted packets
  - New:
    - Timestamp option for every window
- RTO (recovery time objective):
  - Static:  $RTO = b \cdot RTT$  ( $b=2$ )
  - Dynamic:  $RTO = RTT + 4 \cdot D$ 
    - $D = \text{smoothed RTT deviation}$

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## TCP (cont.)

- **Reliable, in-order byte stream (cont.):**
  - Small packets == silly window syndrome:
    - Sender side (Nagle)
      - Only one partial packet outstanding
    - Receiver side (Clark)
      - Only advertise reasonable window changes
      - $\text{Min}(\text{MSS}, \frac{1}{2} \text{ of receiver buffer space})$

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## TCP (cont.)

- **Connection-oriented:**
  - Handshaking (exchange of control msgs) init's sender, receiver state before data exchange
  - Control flags:
    - SYN: connection establishment
    - FIN: connection close
    - RST: connection reset
    - SYN, FIN use one byte of segment space  
enables reuse of existing mechanisms
  - Connection establishment:
    - 3-way handshake
  - Connection teardown
    - 4-way handshake
  - Initial sequence number: best unpredictable
  - Receiver state: for flow control
  - Time wait state: avoid reuse of sockets

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## TCP (cont.)

- TCP congestion control:
  - Sender will not overwhelm network
  - End-to-end control
  - Congestion detection
    - Lost packets
    - Marked packets
  - Use sender side window: cwnd
  - AIMD for window size control:
    - Additive increase
    - Multiplicative decrease

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## TCP (cont.)

- TCP congestion control (cont.):
  - Selfclocking
    - ACK clocking
  - Two stages
    - Reaching equilibrium
      - Slow start
    - Adapting to resource availability
      - Congestion avoidance

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## TCP (cont.)

### □ TCP congestion control (cont.):

#### ○ Slow start

- Init:
  - cwnd = MSS
  - ssthresh = 64K
- ACK:
  - cwnd += MSS
  - If (cwnd > ssthresh)  
congestion avoidance
- Timeout:
  - cwnd = MSS
  - RTO = min(2\*RTO, 64 s)
  - restart

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## TCP (cont.)

### □ TCP congestion control (cont.):

- Congestion avoidance
  - ACK:
    - cwnd += MSS/cwnd
  - Lost packet indication:
    - ssthresh = max(min(rwnd, cwnd)/2, 2\*MSS)
    - RTO = min(2\*RTO, 64 s)
    - Cont or switch to slow start

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## TCP (cont.)

### □ TCP congestion control (cont.):

- Retransmissions
  - Fast retransmit
    - Receiver acks out-of-order segments immediately
    - $\geq 3$  duplicate ACKs  $\Leftrightarrow$  lost packet
    - Retransmit packet
    - Switch to slow start
  - Fast recovery
    - Fast retransmit
    - Congesting avoidance
    - (Allowed to transmit packet for every dup ACK)
  - Partial ACK
    - Not all outstanding data is Acked after retransmission
    - Retransmit next packet