Application Layer

Goals:
- Conceptual aspects of network application protocols
  - Client server paradigm
  - Service models
- Learn about protocols by examining popular application-level protocols
  - HTTP
  - DNS
  - SMTP, POP3, IMAP
  - FTP
  - Gnutella und KaZaa
  - IRC

Applications and application-layer protocols

Application: communicating, distributed processes
- Running in network hosts in “user space”
- Exchange messages to implement app
- E.g., email, file transfer, the Web

Application-layer protocols
- One “piece” of an app
- Define messages exchanged by apps and actions taken
- User services provided by lower layer protocols
Client-server paradigm

Typical network app has two pieces: **client** and **server**

Client:
- Initiates contact with server ("speaks first")
- Typically requests service from server,
- E.g., request WWW page, send email

Server:
- Provides requested service to client
- E.g., sends requested WWW page, receives/stores received email

**Services provided by Internet transport protocols**

**TCP service:**
- *Connection-oriented:* setup required between client, server
- *Reliable transport* between sending and receiving process
- *Flow control:* sender won’t overwhelm receiver
- *Congestion control:* throttle sender when network overloaded
- *Does not providing:* timing, minimum bandwidth guarantees

**UDP service:**
- Unreliable data transfer between sending and receiving process
- Does not provide: connection setup, reliability, flow control, congestion control, timing, or bandwidth guarantee

Q: Why bother? Why is there a UDP?
WWW: the HTTP protocol

HTTP: hypertext transfer protocol
- WWW’s application layer protocol
- Client/server model
  - **Client**: browser that requests, receives, "displays" WWW objects
  - **Server**: WWW server sends objects in response to requests

HTTP - timeline
- Mar 1990: CERN labs document proposing Web
- Jan 1992: HTTP/0.9 specification
- Dec 1992: Proposal to add MIME to HTTP
- Feb 1993: UDI (Universal Document Identifier) Network
- Mar 1993: HTTP/1.0 first draft
- Jun 1993: HTML (1.0 Specification)
- Oct 1993: URL specification
- Nov 1993: HTTP/1.0 second draft
- Mar 1994: URI in WWW
- May 1996: HTTP/1.0 Informational, RFC 1945
- Jan 1997: HTTP/1.1 Proposed Standard, RFC 2068
- Jun 1999: HTTP/1.1 Draft Standard, RFC 2616
- 2001: HTTP/1.1 Formal Standard
The HTTP protocol: more

HTTP: TCP transport service:
- Client initiates TCP connection (creates socket) to server, port 80
- Server accepts TCP connection from client
- http messages (application-layer protocol messages) exchanged between browser (http client) and WWW server (http server)
- TCP connection closed

HTTP is “stateless”
- Server maintains no information about past client requests

Aside
- Protocols that maintain “state” are complex!
- Past history (state) must be maintained
- If server/client crashes, their views of “state” may be inconsistent, must be reconciled

http message format: request

- Two types of http messages: request, response
- http request message:
  - ASCII (human-readable format)

```plaintext
GET /somedir/page.html HTTP/1.1
Connection: close
User-agent: Mozilla/4.0
Accept: text/html, image/gif, image/jpeg
Accept-language: fr
```
(extra carriage return, line feed)
http message format: reply

status line  (protocol  status code  status phrase)

HTTP/1.1 200 OK
Connection: close
Date: Thu, 06 Aug 1998 12:00:15 GMT
Server: Apache/1.3.0 (Unix)
Last-Modified: Mon, 22 Jun 1998 ...
Content-Length: 6821
Content-Type: text/html

data data data data data ...

data, e.g., requested html file

http reply status codes

In first line in server → client response message.
A few sample codes:

200 OK
  o request succeeded, requested object later in this message

301 Moved Permanently
  o requested object moved, new location specified later in this message (Location:)

400 Bad Request
  o request message not understood by server

404 Not Found
  o requested document not found on this server

505 HTTP Version Not Supported
HTTP request methods

- Properties:
  - Safe: examines the state of a resource
  - Idempotent: side effects of one request == those of multiple requests

- Methods
  - GET (safe, idempotent)
  - HEAD
  - POST (not safe, not idempotent)
  - PUT (not safe, idempotent)
  - Delete

The HTTP protocol: even more

- Non-persistent connection:
  One object in each TCP connection
  - Some browsers create multiple TCP connections simultaneously – one per object

- Persistent connection:
  Multiple objects transferred within one TCP connection

- Pipelined persistent connections:
  Multiple requests issued without waiting for response
User-server interaction: authentication

- **Authentication goal:** control access to server documents
  - **Stateless:** client must present authorization in each request
  - **Authorization:** typically name, password
    - authorization: header line in request
    - If no authorization, server refuses access, sends WWW authenticate: header line in response

User-server interaction: conditional GET

- **Goal:** don't send object if client has up-to-date stored (cached) version
  - Client: specify date of cached copy in http request
    - If-modified-since: <date>
  - Server: response contains no object if cached copy up-to-date:
    - HTTP/1.0 304 Not Modified
  - Server: sends modified object:
    - HTTP/1.1 200 OK
    - <data>
User-server state: cookies

Many major Web sites use cookies

**Four components:**

1. cookie header line of HTTP response message
2. cookie header line in HTTP request message
3. cookie file kept on user’s host, managed by user’s browser
4. back-end database at Web site

**Example:**
- Susan access Internet always from same PC
- She visits a specific e-commerce site for first time
- When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

Cookies: keeping “state” (cont.)

![Diagram showing the process of cookies]

- Client
- Server
- Usual HTTP request msg
- Usual HTTP response + Set-cookie: 1678
- Server creates ID 1678 for user

One week later:

- Cookie file
  - amazon: 1678
  - ebay: 8734

- Usual HTTP request msg
- Cookie: 1678
- Usual HTTP response msg
- Cookie-specific action
Cookies (continued)

What cookies can bring:
- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

Cookies and privacy:
- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites
- search engines use redirection & cookies to learn yet more
- advertising companies obtain info across sites

Web Caches (proxy server)

Goal: satisfy client request without involving origin server

- User sets browser: WWW accesses via web cache
- Client sends all http requests to web cache
  - If object at web cache, web cache immediately returns object in http response
  - Else requests object from origin server, then returns http response to client
Why WWW Caching?

Assume: cache is “close” to client (e.g., in same network)
- smaller response time: cache “closer” to client
- decrease traffic to distant servers
  - link out of institutional/local ISP network often bottleneck

Problems with HTTP/1.0

- Lack of control: cache duration, cache location, selection among cached variants, ...
- Ambiguity of rules for proxies and caches
- Download of full resource instead of necessary part
- Poor use of TCP: short Web responses
- No guarantee for full receipt for dynamically generated responses
- Depletion of IP addresses
- Inability to tailor request, responses according to client, server preference
- Poor level of security
- ...

![Diagram of network and cache setup]
HTTP/1.1 concepts

- Hop-by-hop mechanism
  - Headers valid only for a single transport-level connection: Transfer-Encoding, Connection
  - Cannot be stored by caches or forwarded by proxies
- Transfer coding
  - Split: message vs. entity (including headers)
  - Content coding is applied to whole entity
  - Transfer coding applies to entity-body
    - Property of message not original entity
    - TE, Transfer-Encoding
- Virtual hosting
- Semantic transparency for caching
- Support for variants of a resource

New headers: Request

- Response preference
  - New: Accept (charset, encoding, language), TE
- Information
  - Old: Authorization, From, Referer, User-Agent
  - New: Proxy-Authorization
- Conditional request
  - Old: If-Modified-Since
  - New: If-Match, If-None-Match, If-Unmodified-Since, If-Range
- Constraint on server
  - New: Expect, Host, Max-Forwards, Range
New headers: Response

- Redirection:
  - Old: Location

- Information
  - Old: Server
  - New: Retry-After, Accept-Ranges

- Security related
  - Old: WWW-Authenticate
  - New: Proxy-Authenticate

- Caching related
  - New: Etag, Age, Vary

Web 2.0: e.g., AJAX enabled apps

E.g.: Google Maps: a canonical AJAX application
Content distribution networks (CDNs)

- The content providers are the CDN customers.

  **Content replication**

- CDN company installs hundreds of CDN servers throughout Internet
  - in lower-tier ISPs, close to users

- CDN replicates its customers’ content in CDN servers. When provider updates content, CDN updates servers

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### CDN example

1. **Origin server**
2. **DNS query for www.cdn.com**

**Origin server**
- www.foo.com
- distributes HTML
- Replaces:
  - http://www.foo.com/sports/ruth.gif
  - with

**CDN company**
- cdn.com
- distributes gif files
- uses its authoritative DNS server to route redirect requests
More about CDNs

**routing requests**
- CDN creates a “map”, indicating distances from leaf ISPs and CDN nodes
- when query arrives at authoritative DNS server:
  - server determines ISP from which query originates
  - uses “map” to determine best CDN server

**not just Web pages**
- streaming stored audio/video
- streaming real-time audio/video
  - CDN nodes create application-layer overlay network

DNS: Domain Name System

**People:** many identifiers:
- SSN, name, Passport #

**Internet hosts, routers:**
- IP address (32 bit) – used for addressing datagrams
- “name”, e.g., gaia.cs.umass.edu – used by humans

**Q:** Map between IP addresses and name?

- Secure Domain Name System (DNS) Dynamic Update: RFC 3007
DNS: Domain Name System

Domain Name System:
- *Distributed database:* implemented in hierarchy of many *name servers*
- *Application-layer protocol:* host, routers, name servers communicate to *resolve* names (address/name translation)
  - Core Internet function implemented as application-layer protocol
  - Complexity at network’s “edge”

DNS name servers

Why not centralize DNS?
- Single point of failure
- Traffic volume
- Distant centralized database
- Maintenance

Does not *scale!*
DNS name servers (2)

No server has all name-to-IP address mappings

Local name servers:
- Each ISP, company has local (default) name server
- Host DNS query first goes to local name server

Authoritative name server:
- For a host: stores that host’s IP address, name
- Can perform name/address translation for that host’s name

DNS: hierarchical naming tree
Distributed, hierarchical database

- Root DNS Servers
  - com DNS servers
  - org DNS servers
  - edu DNS servers

- com DNS servers
  - yahoo.com DNS servers
  - amazon.com DNS servers
  - pbs.org DNS servers
  - poly.edu DNS servers
  - umass.edu DNS servers

Client wants IP for www.amazon.com; 1st approx:
- Client queries a root server to find com DNS server
- Client queries com DNS server to get amazon.com DNS server
- Client queries amazon.com DNS server to get IP address for www.amazon.com

DNS: Root name servers

- Contacted by local name server that can not resolve name
- Root name server:
  - Contacts authoritative name server if name mapping not known
  - Gets mapping
  - Returns mapping to local name server
  - Some use anycast

13 root name servers worldwide
TLD and Authoritative Servers

- **Top-level domain (TLD) servers:** responsible for com, org, net, edu, etc, and all top-level country domains uk, fr, ca, jp.
  - Network solutions maintains servers for com TLD
  - Educause for edu TLD

- **Authoritative DNS servers:** organization’s DNS servers, providing authoritative hostname to IP mappings for organization’s servers (e.g., Web and mail).
  - Can be maintained by organization or service provider

Local Name Server

- Does not strictly belong to hierarchy
- Each ISP (residential ISP, company, university) has one.
  - Also called “default name server”
- When a host makes a DNS query, query is sent to its local DNS server
  - Acts as a proxy, forwards query into hierarchy.
**DNS records**

**DNS**: distributed db storing resource records

(RR) **RR format**: (name, value, type, ttl)

- **Type=NS**
  - *name* is domain (e.g., foo.com)
  - *value* is IP address of authoritative name server for this domain

- **Type=A**
  - *name* is hostname
  - *value* is IP address

- **Type=CNAME**
  - for alias

- **Type=MX**
  - for mail

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**Example**

- Host at cis.poly.edu wants IP address for gaia.cs.umass.edu
Recursive queries

recursive query:
- puts burden of name resolution on contacted name server
- heavy load?

iterated query:
- contacted server replies with name of server to contact
- “I don’t know this name, but ask this server”

DNS: iterated queries

Recursive query:
- Puts burden of name resolution on contacted name server
- Heavy load?

Iterated query:
- Contacted server replies with name of server to contact
- “I don’t know this name, but ask this server”
Mapping IP address to names

- Special domain: ARPA

```
ARPA
|-- in-addr
|  | 130
|  | 149
|  | 49
|  | 68
```

68.49.149.130.in-addr.arpa.

DNS: caching and updating records

- Once (any) name server learns mapping, it *caches* mapping
  - Cache entries timeout (disappear) after some time
- Update/notify mechanisms under design by IETF
  - RFC 3007 (Feb. 2004)
Inserting records into DNS

- Example: just created startup “Network Utopia”
- Register name networkuptopia.com at a registrar (e.g., Network Solutions)
  - Need to provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
  - Registrar inserts two RRs into the com TLD server:
    - (networkutopia.com, dns1.networkutopia.com, NS)
    - (dns1.networkutopia.com, 212.212.212.1, A)

- Put in authoritative server Type A record for www.networkuptopia.com and Type MX record for networkutopia.com
- How do people get the IP address of your Web site?